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Date of Deposit: March 31, 2004

PATENT

Attorney Docket No.: 15270J-004729US

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Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By: Rosemarie L. Belli

In re application of:

SCHENK, Dale B.

Application No.: To be assigned

Filed: Herewith

For: PREVENTION AND TREATMENT  
OF AMYLOIDOGENIC DISEASE

Customer No.: 20350

Examiner: Unassigned

Technology Center/Art Unit: Unassigned

**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98**

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/201,430, filed November 30, 1998 (Attorney Docket No. 15270J-004720US), with the exception of reference numbers 407-435, copies of which are attached. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

The attached PTO/SB/08B form cites "'Grant Application; Raso, Immunology of Alzheimer's Disease'; 1/1998,'" as cite no. 304. Cite no 304 was cited by the Examiner in Application No. 09/579,690. Application No. 09/579,690 is a commonly owned copending application directed to subject matter related to the instant application. Applicant believes "1/1998" is not the publication date as alleged by the Examiner, but refers to the grant funding cycle. Applicants' further believe the date of public accessibility, if any, is unknown. Applicant wishes to bring to the Examiner's attention that a copy of a grant application believed to have been submitted by Victor Raso for NIH Grant 1 R43 AGI 5746-01 on August 29, 1997 is cited in the attached PTO/SB/08B form as Cite 144. Cite no. 144 is a redacted version of cite no. 304. Applicant obtained cite no. 144 under the Freedom of Information Act (FOIA). It is believed that the grant proposal would not have been accessible under FOIA before April 2, 1998, but the exact date of public accessibility, if any, is not known to Applicant.

The Assignee of the instant application is a licensee of U.S. Patent No. 5,688,651, which is directed in part to subject matter related to the instant application. U.S. Patent No. 5,688,651 is now undergoing examination reissue as Application No. 09/441,140. U.S. Patent No. 5,688,651 and U.S. Application No. 09/441,140 are submitted herewith as Cite Nos. 16 and 283, respectively.

Applicant also cites commonly owned copending applications directed to related subject matter:

09/201,430 filed 11/30/98;  
09/497,553 filed 02/03/00;  
09/724,477 filed 11/28/00;  
09/723,927 filed 11/28/00;  
09/724,762 filed 11/28/00;  
09/724,102 filed 11/28/00;  
09/724,489 filed 11/28/00;

09/322,289 filed 05/28/99;  
09/723,713 filed 11/27/00;  
09/723,760 filed 11/27/00;  
09/724,319 filed 11/27/00;  
09/723,384 filed 11/27/00;  
09/724,495 filed 11/27/00;  
10/429,216 filed 05/30/03;  
09/580,015 filed 05/26/00;  
09/724,940 filed 11/28/00;  
09/724,961 filed 11/28/00;  
09/580,018 filed 05/26/00;  
09/724,552 filed 11/28/00;  
09/723,544 filed 11/28/00;  
09/724,273 filed 11/28/00;  
09/724,551 filed 11/28/00;  
09/724,288 filed 11/28/00;  
09/580,019 filed 05/26/00;  
09/723,765 filed 11/28/00;  
09/724,291 filed 11/28/00;  
09/204,838 filed 12/03/98;  
09/724,929 filed 11/28/00;  
09/585,817 filed 06/01/00;  
09/724,567 filed 11/28/00;  
09/724,575 filed 11/28/00;  
09/724,953 filed 11/28/00;  
09/724,570 filed 11/28/00;  
09/585,656 filed 06/01/00;  
09/723,766 filed 11/27/00;  
09/723,725 filed 11/27/00;  
09/579,690 filed 05/26/00;

09/979,701 filed 03/13/01 (U.S. National Stage of PCT/US00/14810 filed 05/26/00);  
09/979,952 filed 04/04/02 (U.S. National Stage of PCT/US00/15239 filed 06/01/00);  
and,  
09/980,568 filed 03/12/02 (U.S. National Stage of PCT/US00/15302 filed 06/01/00).

Applicant also cites the following copending applications directed to related  
subject matter but subject to different assignment:

10/010,942 filed 12/06/01;  
10/232,030 filed 08/30/02;  
10/388,389 filed 03/12/03;  
60/444,150 filed 02/01/03;  
10/388,214 filed 03/12/03; and,  
60/474,654 filed 05/30/03.

Applicant further cites the following commonly owned abandoned applications  
directed to related subject matter:

60/067,740 filed 12/02/97;  
60/080,970 filed 04/07/98;  
60/067,219 filed 12/03/97;  
60/079,697 filed 03/27/98;  
09/724,921 filed 11/28/00;  
60/137,010 filed 06/01/99;  
60/137,047 filed 06/01/99; and,  
60/136,655 filed 05/28/99.

Applicant also cites the following abandoned application directed to related  
subject matter but subject to different assignment:

60/251,892 filed 12/06/00; and,  
60/363,751 filed 03/12/02.

Applicant points out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:

60/067,219 filed 12/03/97;  
60/079,697 filed 03/27/98;  
09/204,838 filed 12/03/98;  
09/724,921 filed 11/28/00; and,  
09/724,929 filed 11/28/00.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Rosemarie L. Celli  
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RLC:crf  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1

of

24

**Complete if Known**

Application Number

Filing Date

Herewith

First Named Inventor

Schenk

Art Unit

Examiner Name

Attorney Docket Number

15270J-004729US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	415	2003/0166558 A1	09-04-2003	Frangione et al.	
	431	2003/0165496 A1	09-04-2003	Basi et al.	
	432	6,562,341 B2	05-13-2003	Prusiner et al.	
	360	2003/0073655 A1	04-17-2003	Chain	
	370	2003/0068325 A1	04-10-2003	Wang	
	378	2002/0197258 A1	12-26-2003	Ghanbari et al.	
	366	2002/0187157 A1	12-12-2002	Jensen et al.	
	377	2002/0168377 A1	11-14-2002	Schaetzel	
	340	2002/0162129 A1	10-31-2002	Lannfelt	
	395	2002/0160394 A1	10-31-2002	Wu	
	326	2002/0136718 A1	09-26-2002	Raso	
	379	2002/0132268 A1	09-19-2002	Chang et al.	
	365	2002/0133001 A1	09-19-2002	Gefter et al.	
	325	2001/0102261 A1	08-01-2002	Raso	
	362	2002/0094335 A1	07-18-2002	Chalifour et al.	
	306	6,417,178 B1	07-09-2002	Klunk et al.	
	376	2002/0086847 A1	07-04-2002	Chain	
	405	6,399,314 B1	06-04-2002	Krishnamurthy	
	342	2002/0009445 A1	01-24-2002	Du et al.	
	267	6,294,171 B2	09-25-2001	McMichael	
	416	6,303,567 B1	10-16-2001	Findeis et al.	
	381	2001/0021769 A1	09-13-2001	Prusiner	
	401	6,284,533 B1	09-04-2001	Thomas	
	234	6,284,221 B1	09-04-2001	Schenk, et al.	
	300	2001/0018053 A1	08-30-2001	McMichael	
	230	6,262,335 B1	07-17-2001	Hsiao et al.	
	345	2002/0077288 A1	06-21-2001	Frangione	
	196	6,150,091	11-21-2000	Pandolfo et al.	
	231	6,114,133	09-05-2000	Seubert et al.	
	1	6,057,367	05-02-2000	Stamler et al.	
	221	5,989,566	11-23-1999	Cobb et al.	
	417	5,985,242	11-16-1999	Findeis et al.	

Examiner  
SignatureDate  
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 24

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

Herewith

Schenk

15270J-004729US

	2	5,958,883	09-28-1999	Snow	
	3	5,955,317	09-21-1999	Suzuki et al.	
	4	5,955,079	09-21-1999	Mond et al.	
	346	5,935,927	08-10-1999	Vitek et al.	
	5	5,877,399	03-02-1999	Hsiao et al.	
	6	5,869,093	02-09-1999	Weiner et al.	
	7	5,869,054	02-09-1999	Weiner et al.	
	8	5,854,204	12-29-1998	Findeis et al.	
	9	5,851,996	12-22-1998	Kline	
	10	5,849,298	12-15-1998	Weiner et al.	
	382	5,846,533	12-08-1998	Prusiner	
	321	5,837,672	11-17-1998	Schenk et al.	
	11	5,837,473	11-17-1998	Maggio et al.	
	353	5,824,322	10-20-1998	Balasubramanian	
	12	5,786,180	07-28-1998	Konig et al.	
	207	5,780,587	07-14-1998	Potter	
	357	5,776,468 B1	07-07-1998	Hauser et al.	
	13	5,753,624	05-19-1998	McMichael et al.	
	380	5,750,361	05-12-1998	Prusiner et al.	
	14	5,750,349	05-12-1998	Suzuki et al.	
	197	5,744,368	04-28-1998	Goldgaber et al.	
	211	5,736,142	04-07-1998	Sette et al.	
	15	5,733,547	03-31-1998	Weiner et al.	
	373	5,721,130	02-24-1998	Seubert et al.	
	16	5,688,651	11-18-1997	Solomon	
	17	5,679,348	10-21-1997	Nesburn et al.	
	18	5,645,820	07-08-1997	Hafler et al.	
	19	5,641,474	06-24-1997	Hafler et al.	
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	356	5,622,701	04-22-1997	Berg	
	21	5,612,486	03-18-1997	McConlogue et al.	
	22	5,605,811	02-25-1997	Seubert et al.	
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	23	5,585,100	12-17-1996	Mond et al.	
	358	5,583,112 B2	12-10-1996	Kensil et al.	

Examiner  
Signature

Date  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 3 of 24

Application Number	
Filing Date	Herewith
First Named Inventor	Schenk
Art Unit	
Examiner Name	
Attorney Docket Number	15270J-004729US

	24	5,571,500	11-05-1996	Hafler et al.	
	25	5,571,499	11-05-1996	Hafler et al.	
	403	5,464,823	11-07-1995	Lehrer et al.	
	175	5,441,870	08-15-1995	Seubert, et al.	
	26	5,434,170	07-18-1995	Andrulis, Jr.	
	27	5,387,742	02-07-1995	Cordell	
	181	5,270,165	12-14-1993	Van Nostrand et al.	
	284	5,231,170	07-27-1993	Averback	
	28	5,231,000	07-27-1993	Majocha et al.	
	29	5,220,013	06-15-1993	Ponte et al.	
	30	5,208,036	05-04-1993	Eppstein et al.	
	31	5,192,753	03-09-1993	McGeer et al.	
	32	5,187,153	02-16-1993	Cordell et al.	
	33	5,057,540	10-15-1991	Kensil et al.	
	198	5,004,697	04-20-1991	Pardridge	
	402	4,713,366	12-15-1987	Stevens	
	34	4,666,829	05-19-1987	Glenner et al.	

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind.Code <sup>2</sup> (if known)	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	296	60/254,465	12-08-2000	Holtzman et al.	
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	305	09/724,842	11-28-2000	Chalifour et al.	
	295	60/184,601	02-24-2000	Holtzman et al.	
	282	60/169,687	12-08-1999	Chain	
	242	60/168,594	11-29-1999	Chalifour et al.	
	283	09/441,140	11-16-1999	Solomon et al.	
	299	60/186,295	03-01-2000	Rasmussen et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	343	EP	1 172 378	A1	01-16-2002			

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 4 of 24

Application Number  
Filing Date Herewith  
First Named Inventor Schenk  
Art Unit  
Examiner Name  
Attorney Docket Number 15270J-004729US

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	36	EP	868 918	A2	10-07-1998			
	37	EP	863 211	A1	09-09-1998			
	38	EP	845 270	A1	06-03-1998			
	39	EP	782 859	A1	07-09-1997			
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	41	EP	666 080	A1	08-09-1995			
	42	EP	652 962	B1	12-16-1998			
	43	EP	639 081	B1	11-03-1999			
	44	EP	613 007	A2	08-31-1994			
	45	EP	594 607	B1	08-27-1997			
	46	EP	561 087	B1	08-04-1999			
	47	EP	526 511	B1	05-28-1997			
	48	EP	506 785	B1	03-15-2000			
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	50	EP	440 619	B1	01-24-1996			
	51	EP	359 783	B1	11-29-1995			
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	187	EP	783 104	A1	07-09-1997			
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	351	WO	02/34878	A2	05-02-2002			
	352	WO	02/34777	A1	05-02-2002			
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	344	WO	01/90182	A2	11-29-2001			
	348	WO	01/77167	A2	10-18-2001			
	294	WO	01/62801	A2	08-30-2001			
	301	WO	01/62284	A2	03-01-2000			
	298	WO	01/42306	A2	06-14-2001			
	243	WO	01/39796	A2	06-07-2001			
	199	WO	00/77178	A1	12-21-2000			
	322	WO	00/72880	A2, A3	12-07-2000			
	323	WO	00/72876	A2, A3	12-07-2000			
	324	WO	00/72870	A1	12-07-2000			
	240	WO	00/43039	A1	07-27-2000			
	188	WO	00/43049	A1	07-27-2000			

Examiner  
Signature

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 5 of 24

**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Schenk
Art Unit	
Examiner Name	
Attorney Docket Number	15270J-004729US

	53	WO	99/60024	A1	11-25-1999		
	54	WO	99/60021	A2	11-15-1999		
	55	WO	99/58564	A1	11-18-1999		
	56	WO	99/06066	A2	02-11-1999		
	57	WO	99/27949	A1	06-10-1999		
	58	WO	99/27944	A1	06-10-1999		
	59	WO	99/27911	A1	06-10-1999		
	331	WO	99/06545	A2	11-02-1999		
	203	WO	99/00150	A2	01-07-1999		
	60	WO	98/44955	A1	10-15-1998		
	61	WO	98/07850	A2	02-26-1998		
	202	WO	97/21728	A1	06-19-1997		
	62	WO	97/17613	A1	05-15-1997		
	383	WO	97/10505	A1	03-20-1997		
	63	WO	96/39176	A1	12-12-1996		
	208	WO	96/28471	A1	09-19-1996		
	64	WO	96/25435	A1	08-22-1996		
	65	WO	96/18900	A1	06-20-1996		
	66	WO	95/31996	A1	11-30-1995		
	200	WO	95/12815	A1	05-11-1995		
	67	WO	95/11994	A1	05-04-1995		
	68	WO	95/11311	A1	04-27-1995		
	227	WO	95/11008	A2	04-27-1995		
	69	WO	95/05853	A1	03-02-1995		
	70	WO	95/04151	A2	02-09-1995		
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	81	WO	91/19810	A1	12-26-1991		
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	93	GB	2 335 192	A	09-15-1999		

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	391	AGUZZI et al., "Prion research: the next frontiers," <u>Nature</u> , 389:795-798 (1997).	
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First Named Inventor

Schenk

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